

Context Switching & CPU Scheduling

Nima Honarmand



Administrivia

- Midterm: next Tuesday, 10/17, in class
- Will include everything discussed until then
- Will cover:
 - Class lectures, slides and discussions
 - All required readings (as listed on the course schedule page)
 - All blackboard discussions
 - Labs 1 and 2 and relevant xv6 code



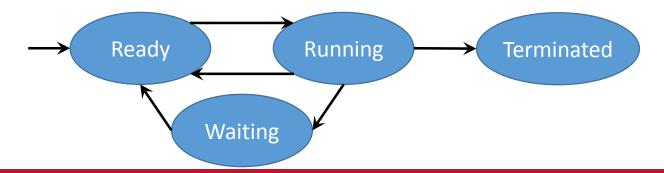
Thread as CPU Abstraction

- Thread: OS abstraction of a CPU as exposed to programs
- Each process needs at least one thread
 - Can't run a program without a CPU, right?
- Multi-threaded programs can have multiple threads which share the same process address space (i.e., page table and segments)
 - Analogy: multiple physical CPUs share the same physical memory



Thread States

- Running: the thread is scheduled and running on a CPU (either in user or kernel mode)
- Ready (Runnable): the thread is not currently running because it does not have a CPU to run on; otherwise, it is ready to execute
- Waiting (Blocked): the thread cannot be run (even if there are idle CPUs) because it is waiting for the completion of an I/O operation (e.g., disk access)
- Terminated: the thread has exited; waiting for its state to be cleaned up





Thread State Transitions

- Ready → Running: a ready thread is selected by the CPU scheduler and is switched in
- Running → Waiting: a running thread performing a blocking operation (e.g., requests disk read) and cannot run until the request is complete
- Running → Ready: a running thread is descheduled to give the CPU to another thread (not because it made a blocking request); it is ready to re-run as soon as CPU becomes available again
- Waiting → Ready: thread's blocking request is complete and it is ready to run again
- Running → Terminated: running thread calls an exit function (or terminates otherwise) and sticks around for some final bookkeeping but does not need to run anymore

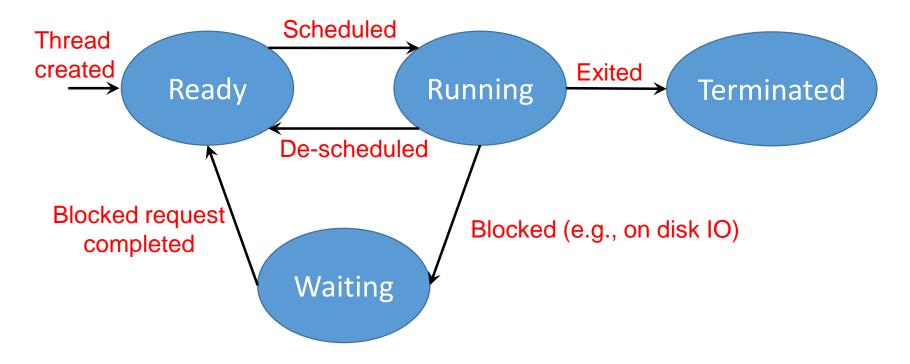


Run and Wait Queues

- Kernel keeps Ready threads in one or more Ready (Run) Queue data structures
 - CPU scheduler checks the run queue to pick the next thread
- Kernel puts a thread on a wait queue when it blocks, and transfers it to a run queue when it is ready to run again
 - Usually, there are separate wait queues for different causes of blocking (disk access, network, locks, etc.)
- → Each thread is either running, or ready in some run queue, or sleeping in some wait queue
 - CPU Scheduler only looks among Ready threads for the next thread to run



Thread State Transitions



- How to transition? (Mechanism)
- When to transition? (Policy)



Mechanism: Context Switching



Thread's Are Like Icebergs

- You might think of a thread as a user-mode-only concept
 - Time to correct that conception!
- In general, a thread has both user-mode and kernel-mode lives
 - Like an iceberg that is partly above pater and partly below.



Thread's Are Like Icebergs (cont'd)

- When CPU is in user-mode, it is executing the current thread in user-mode
 - Code that thread executes comes from program instructions
- When CPU transitions to supervisor mode and starts running kernel code (because of a syscall, exception or interrupt) it is <u>still in the context of the</u> <u>current thread</u>
 - Code that thread executes comes from kernel instructions



Thread's Life in Kernel & User Modes

(thread is using user-mode stack)

•••

Call getpid() library function

...

int 0x80 (Linux system call)

(use user-mode stack)

return from getpid() library call
Call printf() library call

•••

int 0x80 (Linux system call)

(use user-mode stack)

return from printf() library call

•••

User-mode execution (code from program ELF)

Execution Program Code

int x = getpid();printf("my pid is %d\n", x);

(use kernel-mode stack)

Save all registers on the kernel-mode stack call sys getpid()

Restore registers from kernel-mode stack iret (to return to user-mode)

(use kernel-mode stack)

Save all registers on the kernel-mode stack

...

iret (to return to user-mode)

Kernel-mode execution (code from kernel binary)



Context Switching

- Context Switch: saving the context of the current thread, restore that of the next one, and start executing the next thread
- When can OS run the code to do a context switch?
 - When execution is in kernel
 - Because of a system call (e.g., read), exception (e.g., page fault) or an interrupt (e.g., timer interrupt)
 - ...and only when execution is in kernel
 - When in user-mode, kernel code is not running, is it?



Thread Context

- Now that thread can have both user-mode and kernel-mode lives...
- It would also have separate user-mode and kernelmode contexts
 - User-mode context: register values when running in user mode + user-mode stack
 - Kernel-mode context: register values when running in kernel mode + kernel-mode stack



Saving and Restoring Thread Context

- Again: context switching only happens when kernel code is running
- We have already saved current thread's <u>user-mode</u> context when switching to the kernel
 - So no need to worry about that
- We just need to save current thread's kernel mode context before switching
 - Where? Can save it on the kernel-mode stack of current thread



Context Switch Timeline

Operating System	Hardware	Program
Handle the trap Call switch() routine - save kernel regs(A) to k-stack(A) - switch to k-stack(B) - restore kernel regs(B) from k-stack(B) return-from-trap (into B)	timer interrupt save user regs(A) to k-stack(A) witch to kernel mode jump to trap handler	Thread A in user mode
In B's Context	restore user regs(B) from k-stack(B) switch to user mode jump to B's IP	Thread B in user mode



xv6 Code Review

• swtch () function



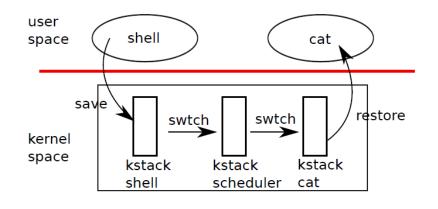
When to Call swtch()?

- Can only happen when in kernel mode
- 1) Cooperative multi-tasking: only when current thread voluntarily relinquishes the CPU
 - I.e., when it makes system calls like yield(), sleep(), exit()
 or when it performs a blocking system call (such as disk
 read)
- 2) Preemptive multi-tasking: take the CPU away by force, even if the thread has made no system calls
 - Use timer interrupts to force a transition to kernel
 - Once in the kernel, we can call swtch () if we want to



Role of CPU Scheduler

- swtch () just switches between two threads; it doesn't decide which thread should be next
- Who makes that decision?
 - Answer: CPU scheduler
 - CPU Scheduler is the piece of logic that decides who should run next and for how long
- xv6 code review
 - In xv6, scheduler runs on its own thread (which runs totally in kernel mode)
 - In Linux, it runs in the context of current thread





Policy: Scheduling Discipline



Vocabulary

- Workload: set of jobs
 - Each job described by (arrival_time, run_time)
- Job: view as current CPU burst of a thread until it blocks again
 - Thread alternates between CPU and blocking operations (I/O, sleep, etc.)
- Scheduler: logic that decides which ready job to run
- Metric: measurement of scheduling quality



Workload Assumptions and Policy Goals

- (Simplistic) workload assumptions
 - Each job runs for the same amount of time
 - All jobs arrive at the same time
 - 3) Run-time of each job is known
- Metric: Turnaround Time
 - Job Turnaround Time: *completion_time arrival_time*
- Goal: minimize average job turnaround time



Simple Scheduler: FIFO

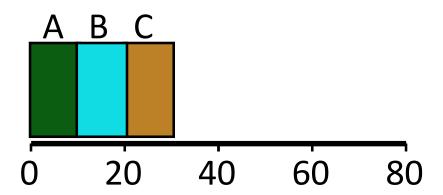
JOB	arrival_time (s)	run_time
Α	~0	10
В	~0	10
С	~0	10

- FIFO: First In, First Out
 - also called FCFS (first come, first served)
 - run jobs in *arrival_time* order until completion
- What is the average turnaround time?



FIFO (Identical Jobs)

JOB	arrival_time (s)	run_time
Α	~0	10
В	~0	10
С	~0	10





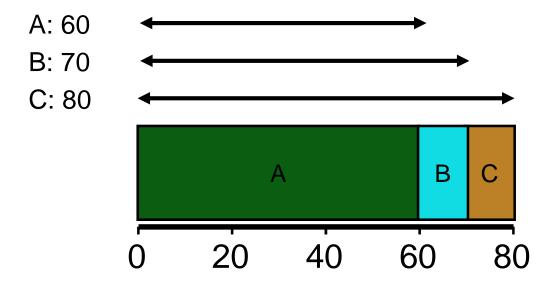
More Realistic Workload Assumptions

- Workload Assumptions
 - 1) Each job runs for the same amount of time
 - All jobs arrive at the same time
 - Run-time of each job is known
- Any problematic workload for FIFO with new assumptions?
 - Hint: something resulting in non-optimal (i.e., high) turnaround time



FIFO: Big First Job

JOB	arrival_time (s)	run_time
Α	~0	60
В	~0	10
С	~0	10





Convoy Effect





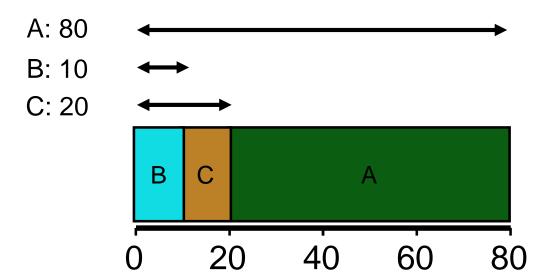
Passing the Tractor

- Problem with Previous Scheduler:
 - FIFO: Turnaround time can suffer when short jobs must wait for long jobs
- New scheduler:
 - SJF (Shortest Job First)
 - Choose job with smallest run_time to run first



SJF Turnaround Time

JOB	arrival_time (s)	run_time
Α	~0	60
В	~0	10
С	~0	10



Avg. turnaround =
$$(10 + 20 + 80)/3$$
 = **36.7**



SJF Turnaround Time

- SJF is provably optimal to minimize avg. turnaround time
 - Under current workload assumptions
 - Without preemption
- Intuition: moving shorter job before longer job improves turnaround time of short job more than it harms turnaround time of long job



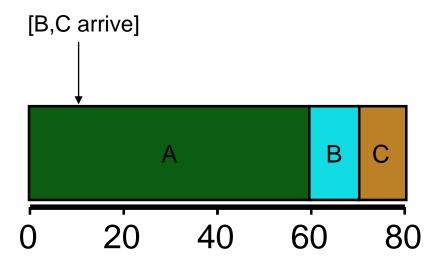
More Realistic Workload Assumptions

- Workload Assumptions
 - 1) Each job runs for the same amount of time
 - 2) All jobs arrive at the same time
 - Run-time of each job is known
- Any problematic workload for SJF with new assumptions?



SJF: Different Arrival Times

JOB	arrival_time (s)	run_time
Α	~0	60
В	~10	10
С	~10	10



Avg. turnaround = (60 + (70-10) + (80-10)) /3 = **63.3**

Can we do better than this?



Preemptive Scheduling

- Previous schedulers:
 - FIFO and SJF are cooperative schedulers
 - Only schedule new job when previous job voluntarily relinquishes CPU (performs I/O or exits)
- New scheduler:
 - Preemptive: potentially schedule different job at any point by taking CPU away from running job
- STCF (Shortest Time-to-Completion First)
 - Always run job that will complete the quickest



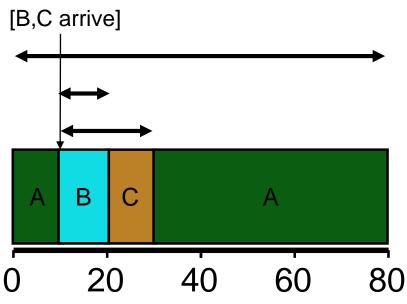
Preemptive: STCF

JOB	arrival_time (s)	run_time
Α	~0	60
В	~10	10
С	~10	10



B: 10

C: 20



Avg. turnaround

$$= (80 + (20-10) + (30-10)) /3$$

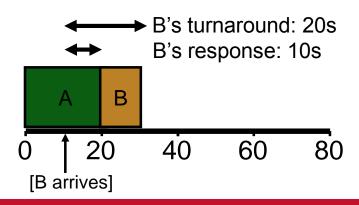
VS.

SJF's time of 63.3



How about Other Metrics?

- Is turnaround time the only metric we care about?
- What about responsiveness?
 - Do you like to stare at your monitor for 10 seconds after pressing a key waiting for something to happen?
- New metric: Response Time
 - Job Response Time: first_start_time arrival_time
 - I.e., the time that it takes for a new job to start running



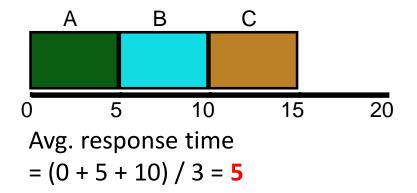


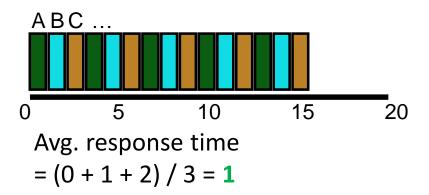
Round-Robin (RR) Scheduler

- Previous schedulers:
 - FIFO, SJF, and STCF can have poor response time
- New scheduler: RR (Round Robin)
 - Alternate ready threads every fixed-length time-slice
 - Preempt current thread at the end of its time-slice and schedule the next one in a fixed order



FIFO vs. RR





- In what way is RR worse?
 - Avg. turnaround time with equal job lengths is horrible
- c'est la vie
 - Impossible to optimize all metrics simultaneously
 - Try to strike a balance that works well most of the time



More Realistic Workload Assumptions

- Workload Assumptions
 - 1) Each job runs for the same amount of time
 - 2) All jobs arrive at the same time
 - 3) Run-time of each job is known
- In practice, the OS cannot know how long a job is going to need the CPU before it completes
 - Not just the OS; Even programmer is unlikely to know it
- Need a smarter scheduler that does not rely on knowing job run-times



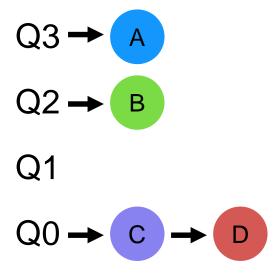
MLFQ: Multi-Level Feedback Queue

- Goal: general-purpose scheduling
- Must support two job types with distinct goals
 - Interactive programs care about response time
 - Example: text editor, shell, etc.
 - Batch programs care about turnaround time
 - Example: video encoder
- Approach: multiple levels of round-robin
 - Each level has higher priority than lower levels and preempts them



Priorities

- Rule 1: If priority(A) > priority(B), A runs
- Rule 2: If priority(A) == priority(B), A & B run in RR



- Multi-level
- How to know how to set priority?
 - Answer: use history "feedback"



History

- Use past behavior to predict future behavior
 - Common technique in computer systems
- Threads alternate between CPU work and blocking operations (e.g., I/O)
 - Guess how next CPU burst (job) will behave based on past CPU bursts (jobs) of this thread

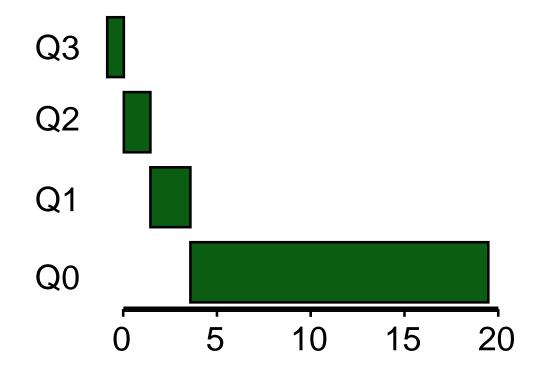


More MLFQ Rules

- Rule 1: If priority(A) > Priority(B), A runs
- Rule 2: If priority(A) == Priority(B), A & B run in RR
- Rule 3: Threads start at top priority
- Rule 4: If job uses whole time-slice, demote thread to lower priority
 - Longer time slices at lower priorities to accommodate CPU-bound applications

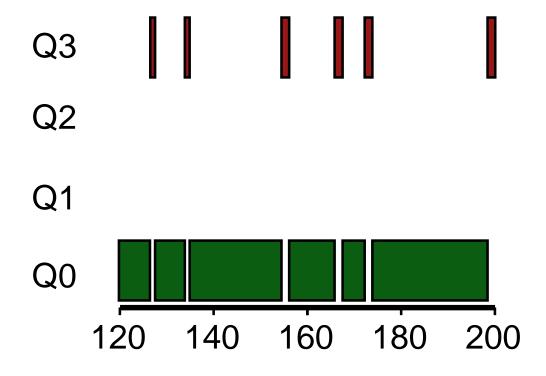


Example: One Long Job





An Interactive Process Joins



 Interactive process seldom uses entire time slice, so not typically demoted



Problems with MLFQ

- 1) Starvation
 - Too many interactive (high-priority) threads can monopolize the CPU and starve lower-priority threads
- 2) It is unforgiving: once demoted to lower priority, thread stays there
 - But programs may change behavior over time
 - I/O bound at some point and CPU-bound later
- 3) Devious programmers can game the system
 - Relinquish the CPU right before the time-slice ends
 - Never demoted; always high priority



Solutions

- Prevent starvation: periodically boost all priorities (i.e., move all threads to highest-priority queue)
 - Also takes care of unforgiving-ness
 - New Problem: how to set the boosting period?
- Prevent gaming: fix the <u>total amount of time</u> each thread stays at a priority level
 - I.e., do not forget about previous time-slices
 - Demote when exceed threshold
 - New Problem: how to set the threshold?
 - New Problem: has to keep more per-thread state



New Metric: Fairness

- So far, we've considered two metrics
 - Turnaround time
 - Response time
- We've seen it's impossible to minimize both simultaneously
 - We settled for a compromise: reduce response time for interactive apps and lower turnaround time for batch jobs
- But there always many jobs in the systems. What if we want them to be treated "fairly"?



Fairness

- Definition: each jobs' turnaround time should be proportional to its length (i.e., the CPU time it needs)
- Turnaround time
 - = job length + time in ready queue
 - = time in "Running" state + time in "Ready" state
- Therefore, fairness means amount of time a job spends in "Ready" state should be proportional to its length



Fairness (cont'd)

- Is FIFO fair?
 - No
- Is SJF fair? How about STCF?
 - No, No
- How about RR?
 - Yes, but too naïve.
 - Does not support priorities, low response time for interactive jobs, etc.
- How about MLFQ?
 - No, but boosting prevents starvation which means some attention to fairness
- There are a class of scheduling disciplines that make fairness their main goal, while paying attention to other goals such as responsiveness and priorities
 - Lottery scheduling, stride scheduling and Linux's Completely Fair Scheduler (CFS)
- Read more about them in OSTEP, chapter 9.



Linux O(1) Scheduler



Linux O(1) Scheduler

- Think of it as a variation of MLFQ
- Goals
 - Provide good response time for short interactive jobs
 - Provide good turnaround time for long CPU-bound jobs
 - Provide a mechanism for static priority assignment
 - Be simple to implement and efficient to run
 - Etc.

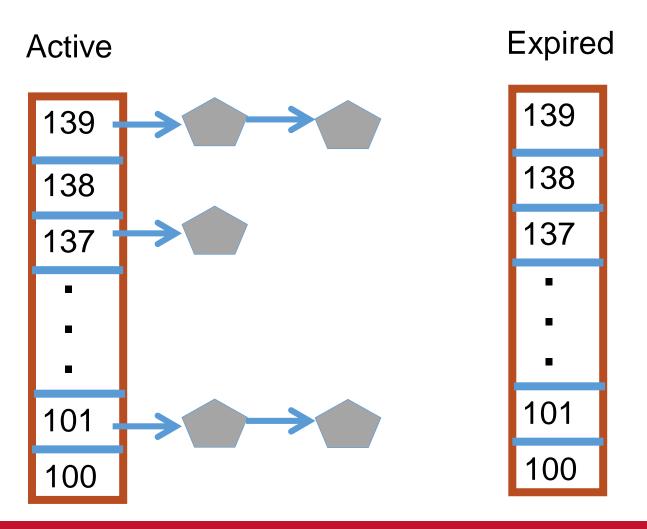


O(1) Bookkeeping

- task: Linux kernel lingo for thread
- runqueue: a list of runnable tasks
 - Blocked threads are not on any runqueue
 - They are on some wait queue elsewhere
 - Each runqueue belongs to a specific CPU
 - Each task is on exactly one runqueue
 - Task only scheduled on runqueue's CPU unless migrated
- 2 × 40 × #CPUs runqueues
 - 40 dynamic priority levels (more later)
 - 2 sets of runqueues: active and expired



O(1) Data Structures



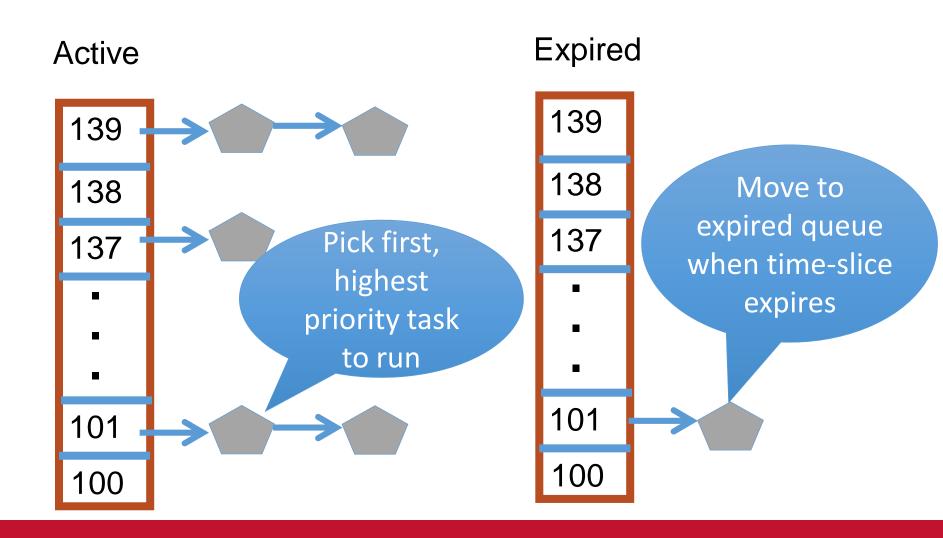


O(1) Intuition

- Take first task from highest-priority runqueue on active set
- When done, put it on runqueue on expired set
- When active set empty, swap active and expired runqueues
- Constant time: O(1)
 - Fixed number of queues to check
 - Only take first item from non-empty queue



O(1) Example





What Now?

Active

139

138

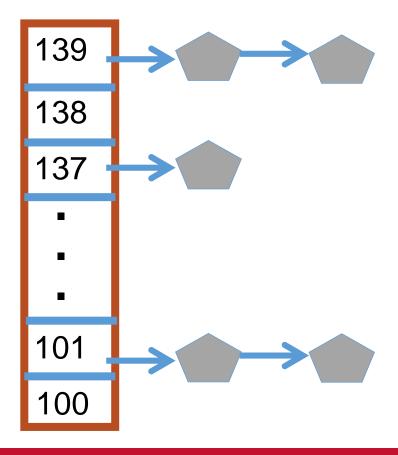
137

-

101

100







What Now?

Expired

139

138

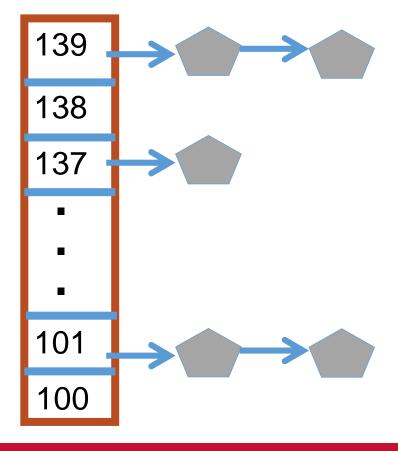
137

_

101

100

Active



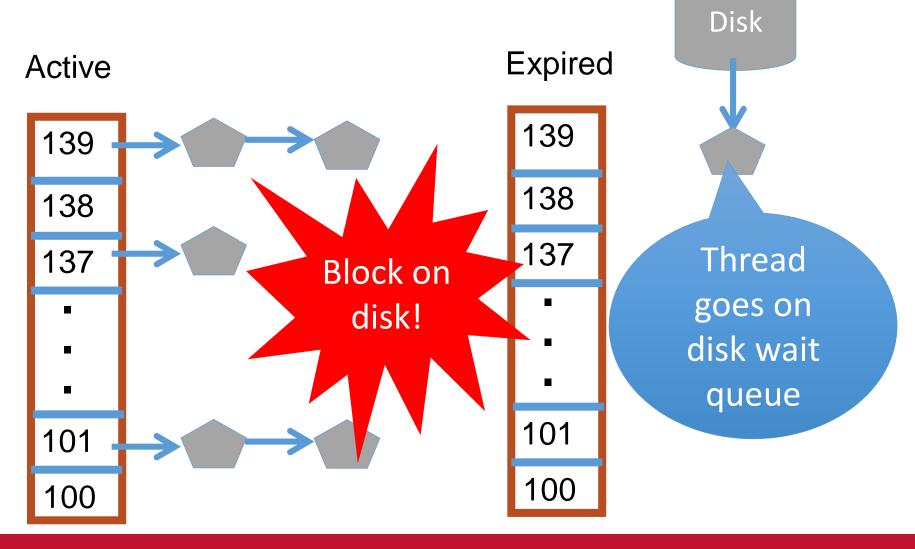


Blocked Tasks

- What if a thread blocks, say on I/O?
 - It still has part of its quantum left
 - Not runnable
 - Don't put on the active or expired runqueues
- Need a "wait queue" for each blocking event
 - Disk, lock, pipe, network socket, etc...



Blocking Example





Blocked Tasks (cont.)

- A blocked task is moved to a wait queue
 - Moved back to <u>active queue</u> when expected event happens
 - No longer on any active or expired queue!
- Disk example:
 - I/O finishes, IRQ handler puts task on active runqueue



Time Slice Tracking

- A task blocks and then becomes runnable
 - How do we know how much time it had left?
- Each task tracks ticks left in time_slice field
 - On each clock tick: current->time_slice--
 - If time slice goes to zero, move to expired queue
 - Refill time slice
 - Schedule someone else
- An unblocked task can use balance of time slice
 - When unblocked, put on active queue



More on Priorities

- 100 = highest priority
- 139 = lowest priority
- 120 = base priority
 - "nice" value: user-specified adjustment to base priority
 - Set using nice() system call
 - Selfish (not nice) = -20 (I want to go first)
 - Really nice = +19 (I will go last)



Base Time Slice

$$time = \begin{cases} (140 - prio) \times 20ms & prio < 120\\ (140 - prio) \times 5ms & prio \ge 120 \end{cases}$$

- "Higher" priority tasks get longer time slices (unlike MLFQ)
 - In addition to running first



How to Make Interactive Jobs Responsive?

- By definition, interactive applications wait on I/O a lot
 - Wait for next keyboard or mouse input, do a bit of work, wait for the next input, and so on
- Monitor I/O wait time
 - Infer which programs are UI (and disk intensive)
- Give these threads a <u>dynamic</u> priority boost
- Note that this behavior can be dynamic
 - Example: DVD Ripper
 - UI configures DVD ripping
 - Then it is CPU bound to encode to mp3
 - → Scheduling should match program phases



Dynamic Priority

- Dynamic priority= max(100, min(static_priority bonus + 5, 139))
- Bonus is calculated based on wait time
- **Dynamic priority** determines a task's runqueue
- Tries to balance throughput for CPU-bound programs and latency for IO-bound ones
 - May not be optimal
- Call it what you prefer
 - Carefully-studied battle-tested heuristic
 - Horrible hack that seems to work



Dynamic Priority in O(1) Scheduler

- runqueue determined by the dynamic priority
 - Not the static priority
 - Dynamic priority mostly based on time spent waiting
 - To boost UI responsiveness
- "Nice" values influence static priority
 - Can't boost dynamic priority without being in wait queue!
 - No matter how "nice" you are or aren't



Linux's Completely Fair Scheduler (CFS)



Fair Scheduling

- Idea: 50 tasks of equal length, each should get 2% of CPU time
- Is this all we want?
 - What about priorities?
 - Responsive interactive jobs?
 - Per-user fairness?
 - Alice has 1 task and Bob has 49; why should Bob get 98% of CPU?
- Completely Fair Scheduler (CFS)
 - Default Linux scheduler since 2.6.23

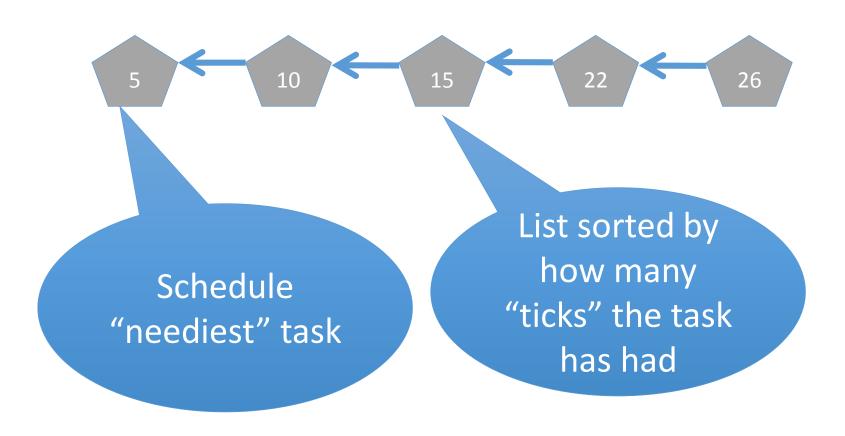


CFS idea

- Back to a simple list of tasks (conceptually)
- Ordered by how much time they have had
 - Least time to most time
- Always pick the "neediest" task to run
 - Until it is no longer neediest
 - Then re-insert old task in the timeline
 - Schedule the new neediest



CFS Example





CFS Example







But Lists Are Inefficient

- That's why we really use a tree
 - Red-black tree: 9/10 Linux developers recommend it
- log(n) time for:
 - Picking next task (i.e., search for left-most task)
 - Putting the task back when it is done (i.e., insertion)
 - Remember: n is total number of tasks on system



Details

- Global Virtual Clock (global vclock): ticks at a fraction of real time
 - fraction = number of total tasks
 - → Indicates "Fair" share of each task
- Each task counts how many clock ticks it has had
- Example: 4 tasks
 - Global vclock ticks once every 4 real ticks
 - Each task scheduled for one real tick
 - Advances local clock by one real tick



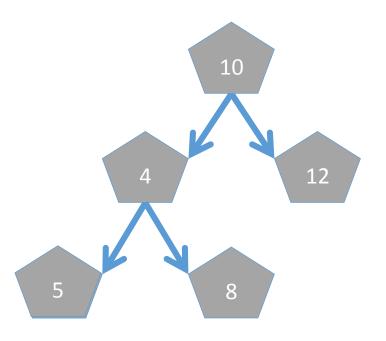
More Details

- Task's ticks make key in RB-tree
 - Lowest tick count gets serviced first
- No more runqueues
 - Just a single tree-structured timeline



CFS Example (more realistic)

- Tasks sorted by ticks executed Global Ticks: 8
- One global tick per n ticks
 - n == number of tasks (5)
- 4 ticks for first task
- Reinsert into list
- 1 tick to new first task
- Increment global clock





Why a Global Virtual Clock?

- What to do when a new task arrives?
 - If task ticks start at zero, unfair to run for a long time
- Strategies:
 - Could initialize to current Global Ticks
 - Could get half of parent's deficit



What about Priorities?

- Priorities let me be deliberately unfair
 - This is a useful feature
- In CFS, priorities weigh the length of a task's "local tick"
 - Local Virtual Clock
- Example:
 - For a high-priority task
 - A task-local tick may last for 10 actual clock ticks
 - For a low-priority task
 - A task-local tick may only last for 1 actual clock tick
- Higher-priority tasks run longer
- Low-priority tasks make some progress

10:1 ratio is made-up.

See code for real weights.



What about Interactive Apps?

- Recall: UI programs are I/O bound
 - We want them to be responsive to user input
 - Need to be scheduled as soon as input is available
 - Will only run for a short time



CFS and Interactive Apps

- Blocked tasks removed from RB-tree
 - Just like O(1) scheduler
- Global vclock keeps ticking while tasks are blocked
 - Increasingly large deficit between task and global vclock
- When a GUI task is runnable, goes to the front
 - Dramatically lower local-clock value than CPU-bound jobs



Other Refinements

- Per task group or user scheduling
 - Controlled by real to virtual tick ratio
 - Function of number of global and user's/group's tasks



Recap: Different Types of Ticks

- Real time is measured by a timer device
 - "ticks" at a certain frequency by raising a timer interrupt every so often
- A thread's local virtual tick is some number of real ticks
 - Priorities, per-user fairness, etc... done by tuning this ratio
- Global Ticks tracks the fair share of each process
 - Used to calculate one's deficit



CFS Summary

- Idea: logically a single queue of runnable tasks
 - Ordered by who has had the least CPU time
- Implemented with a tree for fast lookup
- Global clock counts virtual ticks
 - One tick per "task_count" real ticks
- Features/tweaks (e.g., prio) are hacks
 - Implemented by playing games with length of a virtual tick
 - Virtual ticks vary in wall-clock length per-process



Other Issues



Real-time Scheduling

- Different model
 - Must do modest amount of work by a deadline
- Example: audio application must deliver one frame every *n* ms
 - Too many or too few frames unpleasant to hear
- Strawman solution
 - If I know it takes *n* ticks to process a frame of audio, schedule my application n ticks before the deadline
- Problem? hard to accurately estimate n
 - Variable execution time depending on inputs
 - Interrupts
 - Cache misses
 - TLB misses
 - Disk accesses



Hard Problem

- Gets even harder w/ multiple applications + deadlines
- May not be able to meet all deadlines
- Shared data structures worsen variability
 - Block on locks held by other tasks



Linux Hack

- Have different scheduling classes (disciplines):
 - SCHED_IDLE, SCHED_BATCH, SCHED_OTHER, SCHED_RR, SCHED_FIFO
- "Normal" tasks are in SCHED_OTHER
- "Real-time" tasks get highest-priority scheduling class
 - SCHED_RR and SCHED_FIFO (RR: round robin)
 - RR is preemptive, FIFO is cooperative
- RR tasks fairly divide CPU time amongst themselves
 - Pray that it is enough to meet deadlines
 - Other tasks share the left-overs (if any) and may starve
- Assumption: RR tasks mostly blocked on I/O (like GUI programs)
 - Latency is the key concern
- New real-time scheduling class since Linux 3.14: SCHED_DEADLINE
 - Highest priority class in system; Uses "Earliest Deadline First" scheduling
 - Details in http://man7.org/linux/man-pages/man7/sched.7.html



Linux Scheduling-Related API

- Includes many functions to set scheduling classes, priorities, processor affinities, yielding, etc.
- See
 http://man7.org/linux/man-pages/man7/sched.7.html
 for a detailed discussion



Next Issue: Average Load

- How do we measure how "busy" a CPU is?
 - Useful, e.g., when an idle CPU wants to "steal" threads from another CPU
 - Should steal from the busiest CPU
- Average number of <u>runnable</u> tasks over time
- Available in /proc/loadavg



Next Issue: Kernel Time

- Context switches generally at user/kernel boundary
 - Or on blocking I/O operations
- System call times vary
- Problems: if a time slice expires inside of a system call:
 - 1) Task gets rest of system call "for free"
 - Steals from next task
 - 2) Potentially delays interactive/real-time tasks until finished



Idea: Kernel Preemption

- Why not preempt system calls just like user code?
- Well, because it is harder, duh!
- Why?
 - May hold a lock that other tasks need to make progress
 - May be in a sequence of HW config operations
 - Usually assumes sequence won't be interrupted
- General strategy: allow fragile code to disable preemption
 - Like interrupt handlers disabling interrupts if needed



Kernel Preemption

- Implementation: actually not too bad
 - Essentially, it is transparently disabled with any locks held
 - A few other places disabled by hand
- Result: UI programs a bit more responsive